

Collinson Forex Limited
Individual or Sole Trader

STANDARD CUSTOMER DUE DILIGENCE FORM

Section 1: Individual's Personal Details

Full Name:

Date of Birth:

Occupation:

Type of ID:

ID number:

Expiry Date of ID:

Home Phone:

Mobile:

Email:

Residential Address (PO Box is not acceptable):

Source of Funds:

Purpose of Trade:

Signature:

Authorized Signatory if Applicable

Full Name:

Relationship to the Individual:

Date of Birth:

Occupation:

Type of ID:

ID number:

Expiry Date of ID:

Home Phone:

Mobile:

Email:

Residential Address (PO Box is not acceptable):

Signature:

Section 2: Individual's Declaration

1. I warrant that:

- a. All statements and information are true and fairly represent my position (financial and otherwise);
- b. The funds used in trading are of legitimate source, and I am compliant with the provisions of all laws affecting the foreign exchange services;
- c. I will immediately give written notice to Collinson Forex of a change of name or address.

2. I acknowledge that:

- a. The information provided by me is required to verify my identity in accordance with current legislation.
- b. The establishment of the account may be delayed or declined if I am unable to meet all identity verification requirements.
- c. Additional information may be required of me prior to the establishment of the account or from time to time, as considered appropriate by the Manager.
- d. Restrictions may be placed on the account if I am unable to satisfy all identity verification requirements or ongoing account monitoring requirements.

Name:

Signature:

Date:

Section 3: Proof of Identification and Residential Address Requirements (Apply to both Applicant and Signatory)			
Option 1 - To confirm Name and Date of Birth		OR Option 2 - To confirm Name and Date of Birth	
<input checked="" type="checkbox"/>	Select ONE option from this section only	<input checked="" type="checkbox"/>	Select ONE option from this section
	Current passport (including the signature page)		Full birth certificate
	Firearms licence		Certificate of citizenship
OR Option 3 - To confirm Name and Date of Birth		<input checked="" type="checkbox"/> AND Select ONE option from this section	
<input checked="" type="checkbox"/>	Select ONE option from this section		Current driver licence
	Driver licence		18+ Card
<input checked="" type="checkbox"/>	AND Select ONE option from this section		Valid International driving permit
	Credit card, debit card or eftpos card issued by a registered bank, that contains the individual's name and signature	PLUS - To confirm Residential Address. This is in addition to either option 1, 2 or 3	
	A bank statement issued to the individual that is not more than 12 months old issued by a registered bank	<input checked="" type="checkbox"/>	MUST select ONE option from this section
	A document issued by a government agency that contains the person's name and signature		A bank statement issued by a registered bank to the individual that is not more than 3 months old showing the address of the individual
	A SuperGold Card		A utility bill, council rates bill or statement from IRD issued to the individual that is not more than 3 months old showing the address of the individual
	A statement from the Inland Revenue Department.		An insurance company policy or a letter from your KiwiSaver provider
			A letter from an electoral office
			A tenancy agreement for the place you're currently renting
* A certified ID and Proof of Address must be provided. ID must be valid for a minimum of 6 months from the date of expiration. An updated ID must be provided once it is expired. An updated Proof of Address must be provided once the address is changed.			

Section 4: Verification by Dealer
<p>1. I confirm that I have conducted the customer due diligence procedures required for customer due diligence under the Anti-money Laundering and Countering Financing of Terrorism Act 2009, the regulations made under the Act, using the documents listed above.</p> <p>2. I confirm that:</p> <ol style="list-style-type: none"> a. I have a business relationship with the individual; b. I consent to conducting the customer due diligence procedures required by the Anti-money Laundering and Countering Financing of Terrorism Act 2009, the regulations made under the Act for Collinson Forex and to providing all relevant information to Collinson Forex; c. I have undertaken the verification of the individual named in Section 1 face to face; and d. I have sighted originals or properly certified copies of each of the documents used in that verification process; and e. In respect of the photo identification, the picture represents the identity of the person. <p>Dealer Name:</p> <p>Dealer Signature:</p> <p>Date Verification Completed:</p>