

Collinson Forex Limited
Trust Client

STANDARD CUSTOMER DUE DILIGENCE FORM

Section 1: Trust Details		
<p>Full Name of the Trust:</p> <p>Date of Formation of the Trust:</p> <p>Discretionary Trust: Yes No</p> <p>Phone Number:</p> <p>Email:</p> <p>Physical Address (PO Box is not acceptable):</p> <p>Source of Funds/Wealth:</p> <p>Purpose of Trade:</p>		
Trustees		
Full Name	Residential Address (PO Box is not acceptable)	Signature
Beneficial Owners of the Trust (effective controller)		
Full Name	Residential Address (PO Box is not acceptable)	Signature
Trust Beneficiaries		
<p>Discretionary trust or charitable trust or trust has more than 10 beneficiaries</p> <p>A description of each class or type of beneficiary:</p> <p>Or in the case of a charitable trust, the objects of the trust:</p>		
<p>OR Non-Discretionary trust has less than 10 beneficiaries</p>		
Full Name	Date of Birth	Other

Section 2: Proof of Identification and Residential Address Requirements (Apply to all trustees)			
Option 1 - To confirm Name and Date of Birth		OR Option 2 - To confirm Name and Date of Birth	
<input checked="" type="checkbox"/>	Select ONE option from this section only	<input checked="" type="checkbox"/>	Select ONE option from this section
	Current passport (including the signature page)		Full birth certificate
	Firearms licence		Certificate of citizenship
OR Option 3 - To confirm Name and Date of Birth		<input checked="" type="checkbox"/>	AND Select ONE option from this section
<input checked="" type="checkbox"/>	Select ONE option from this section		Driver licence
	Driver licence		18+ Card
<input checked="" type="checkbox"/>	AND Select ONE option from this section		Valid International driving permit
	Credit card, debit card or eftpos card issued by a registered bank, that contains the individual's name and signature	PLUS - To confirm Residential Address. This is in addition to either option 1, 2 or 3	
	A bank statement issued to the individual that is not more than 12 months old issued by a registered bank	<input checked="" type="checkbox"/>	MUST select ONE option from this section
	A document issued by a government agency that contains the person's name and signature		A bank statement issued by a registered bank to the individual that is not more than 3 months old showing the address of the individual
	A SuperGold Card		A utility bill, council rates bill or statement from IRD issued to the individual that is not more than 3 months old showing the address of the individual
	A statement from the Inland Revenue Department.		An insurance company policy or a letter from your KiwiSaver provider
			A letter from an electoral office
			A tenancy agreement for the place you're currently renting
* A certified copy of ID and Proof of Address must be provided. ID must be valid for a minimum of 6 months from the date of expiration, and it must be updated once it is expired. Proof of Address must be updated if the client has changed address.			

Section 3: Verification by Dealer
<p>1. I confirm that I have conducted the customer due diligence procedures required for customer due diligence under the Anti-money Laundering and Countering Financing of Terrorism Act 2009, the regulations made under the Act, using the documents listed above.</p> <p>2. I confirm that:</p> <ol style="list-style-type: none"> a. I have a business relationship with the trust; b. I consent to conducting the customer due diligence procedures required by the Anti-money Laundering and Countering Financing of Terrorism Act 2009, the regulations made under the Act for Collinson Forex and to providing all relevant information to Collinson Forex; c. I have undertaken the verification of the individual named in Section 1; and d. I have sighted originals or properly certified copies of each of the documents used in that verification process; and e. In respect of the photo identification, the picture represents the identity of the person. <p>Dealer Name:</p> <p>Dealer Signature:</p> <p>Date Verification Completed:</p>